

**SUBSCRIPTION FORM FIA HERMES****1. Data of natural person clients:**

Surname \_\_\_\_\_ First Name \_\_\_\_\_ Pseudonym \_\_\_\_\_  
 Domicile: Country \_\_\_\_\_ County \_\_\_\_\_ City \_\_\_\_\_  
 \_\_\_\_\_  
 Personal Identification Number: \_\_\_\_\_  
 Birth Date \_\_\_\_\_ Place of Birth \_\_\_\_\_ Country of Origin \_\_\_\_\_  
 Citizenship \_\_\_\_\_ Nationality \_\_\_\_\_ Residence (romanian – R / foreign – F) \_\_\_\_\_  
 ID Card: C.I./B.I./P, series \_\_\_\_\_, no \_\_\_\_\_, issued by \_\_\_\_\_, on \_\_\_\_\_  
 Phone: \_\_\_\_\_ Mobile No \_\_\_\_\_ Fax \_\_\_\_\_  
 Email \_\_\_\_\_  
 Job Title \_\_\_\_\_ Work Place: \_\_\_\_\_  
 Government Employment/Public Function held Held \_\_\_\_\_  
 Source of funds \_\_\_\_\_ Scope and the nature of the operations \_\_\_\_\_  
 Beneficial owner \_\_\_\_\_

**2. Data of legal representative (if applicable)**

*I hereby authorize to carry out transactions with fund units \**

Surname/Name: \_\_\_\_\_ Pseudonym \_\_\_\_\_  
 Domicile: \_\_\_\_\_  
 Citizenship \_\_\_\_\_ Nationality \_\_\_\_\_ Residence (romana – R / foreign – F) \_\_\_\_\_  
 Personal Identification Number: \_\_\_\_\_ ID Card: C.I./B.I./P., series \_\_\_\_\_  
 no \_\_\_\_\_ issued by \_\_\_\_\_, on date \_\_\_\_\_ Phone/ Fax: \_\_\_\_\_  
 Email: \_\_\_\_\_ Occupation \_\_\_\_\_  
 Principal Business or Activity \_\_\_\_\_ Work Place: \_\_\_\_\_ Government Employment/Public  
 Function Held \_\_\_\_\_  
 Documents provided:  ID card  passport  others, specify \_\_\_\_\_

**3. Investment Date**

Amount invested \_\_\_\_\_ RON, in letters \_\_\_\_\_  
 Subscription data: Account holder: FIA HERMES  
 IBAN Account: RO74BRDE450SV72790294500  
 Bank: BRD Branch Large Corporate Clients, based in Bucharest, Ion Mihalache Blvd no. 1-7, district 1  
 SWIFT: BRDEROBU  
 Payment Details: Subscription of FIA HERMES Units

**4. Correspondence**

e-mail \_\_\_\_\_  fax no. \_\_\_\_\_  
 mail, at \_\_\_\_\_

**5. Bank details**

*The method of payment of the fund units purchased shall be made by transfer from account No..*

Open with bank \_\_\_\_\_ Owner \_\_\_\_\_

**6. FATCA (Foreign Account Tax Compliance Act)**

*I certify that I am an american citizen and/or resident (including permanent resident with a green card issued) in U.S.A., for tax purposes. Taxpayer Identification Number (USA TIN) is \_\_\_\_\_*

*I certify that I am not an american citizen and/or resident (including permanent resident with a green card issued) in U.S.A., for tax purposes.*

## 7. CRS (Common Reporting Standard)

I am a fiscal resident in \_\_\_\_\_ with taxpayer identification number\* \_\_\_\_\_

\*Taxpayer identification number (TIN) is required unless you are a tax resident in a country/jurisdiction that does not issue a TIN.

## 8. Statements

I hereby certify that I have received, read and agree with the provisions in the Issue Guide and Key Investor Document of the closed-end investment fund HERMES.

- By signing this subscription form, I understand that I become a part of the company agreement.
- I agree that my personal data be used for receiving further information, processed and stored to validate my status as investor in the closed-end fund Hermes and to conduct the fund operations.
- I declare that I have been aware of the money laundering legislation and the data provided in this form are correct and real.
- I declare that I have been informed of the risks associated with investing in closed-end investment funds.

## 9. Subscription Documents

In order to accept and certify the subscription to the FIA HERALD, I submit the following documents to you:

- copy of a photo ID (CI/BI for Romanian citizens, national identity document/passport - for citizens of the Member States of the European Union and the European Economic Area, passport - for citizens of third countries, with the words "according to the original");
- copy of a photo ID of the legal representative (CI/BI for Romanian citizens, national identity document/passport - for citizens of the Member States of the European Union and the European Economic Area, passport - for citizens of third countries, with the words "according to the original");
- authenticated power of attorney, for the person empowered; the power of attorney must expressly contain the operations for which the person concerned is empowered and the specimen of the signature of the legal representative;
- proof/address from the bank from which the IBAN account and the personal identification number are derived.

## 10. GDPR Information

We inform you of the following information regarding your personal data:

SAI Atlas Asset Management SA complies with the legal provisions on the protection of personal data and implements technical and organisational measures to protect all operations relating directly or indirectly to personal data, which prevent unauthorised or unlawful processing, as well as accidental or unlawful loss or destruction.

SAI Atlas Asset Management SA processes your personal and real beneficiaries data entered in the subscription and redemption forms for:

- performance of the contract signed with you, including carrying out the activities performed by SAI Atlas Asset Management SA in your interest by virtue of the signed documents;
- the fulfilment of tax obligations related to the subscription of the fund units;
- fulfilment of the obligations imposed by law on SAI Atlas Asset Management SA;
- knowledge of the clientele in order to prevent money laundering and combat terrorist financing;
- prevention of fraud and guarantee of bank secret by verifying the authenticity of the identity document presented;
- reporting transactions, if necessary;
- carrying out internal audits and investigations; administrative-financial management; management of conflicts of interest;
- the management of controls carried out by the supervisory authorities or authorities;
- ensuring security in the Society's premises; file keeping, storage (pre-archivation) and archiving of documents;
- implementation of personal data security measures.

Please note that your refusal to provide your data/intervention on the data you have provided to SAI Atlas Asset Management SA through the forms (SAI Atlas Asset Management SA documents) may be such as to prevent certification of the fund unit subscription operation.

Providing your personal data via the redemption form and the retention of a copy of your ID card is a legal obligation stipulated in Law 129/2019 and in ASF Regulation No. 13/2019, and your refusal to provide such documents can stop the process of certification of the fund unit subscription operation, i.e. your certification. as an investor of the Fund. In case of refusal, SAI Atlas Asset Management SA is exempt from liability.

SAI Atlas Asset Management SA does not use personal data for automated processing or profiling. SAI Atlas Asset Management SA uses technical means for storing data securely and does not process data for secondary purposes incompatible with the purposes for which it collected it.

SAI Atlas Asset Management SA strictly respects and ensures professional secrets and discloses personal data only for the fulfilment of your interests or the fulfilment of legal obligations.

SAI Atlas Asset Management SA reviews the collected data each year, analyzing the extent to which its retention is necessary for the mentioned purposes, your legitimate interests or the fulfilment of legal obligations.

In accordance with the legal provisions concerning the protection of natural persons with regard to the processing of personal data, the free movement and processing of such data, you may exercise the following specific rights: (a) the right to information, (b) the right of access, (c) the right of rectification, (d) the right to delete personal data (the right to be forgotten), (e) the right to restrict processing, (f) the right to data portability, (g) the right to object. Please inform us of your requests in any way that is informing of the identity of the applicant and his entitlement, at the e-mail address [contact@atlas-am.ro](mailto:contact@atlas-am.ro) or at the SAI Atlas Asset Management SA. We'll get back to you in no time. If you are dissatisfied, you can contact ANSPDCP.

Additional information can be obtained from SAI Atlas Asset Management SA staff or by consulting the website at [www.atlas-am.ro](http://www.atlas-am.ro).

Client Signature \_\_\_\_\_

**Signature of the S.A.I. Atlas Asset Management S.A. operator**

Signature \_\_\_\_\_

Date \_\_\_\_\_

Hour \_\_\_\_\_

Empowered person signature \_\_\_\_\_

**To be completed by SAI Atlas Asset Management SA**

**Subscription Form Series** \_\_\_\_\_ **Investor Account Number** \_\_\_\_\_

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**Fund managed by SAI Atlas Asset Management SA**

Investment Management Company by Decision ASF (CNVM) No 607/14.04.2009

Alternative Investment Fund Manager by ASF Authorisation No. 44/15.02.2018

Headquartered in Romania, Bucharest, sector 1, Bucharest-Ploiesti Road, nr. 7A, Floor 6 office 1

Registered at ONRC Bucharest under No. J40/15700/15.09.2008 and CUI 24467322

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